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Approved for use through 10/31/2002. OMB 0651-0031

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/817,570 | |
| | Filing Date | April 1, 2004 | |
| | First Named Inventor | Charles David Claude | |
| | Group Art Unit | 1615 | |
| | Examiner Name | Unassigned | |
| Total Number of Pages in This Submission (excluding references) | 6 | Attorney Docket Number | 5063.00384 |

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| Firm or Individual name | Squire, Sanders & Dempsey L.L.P. Cameron Kerrigan / Reg. No. 44,826 |
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| Date | November 1, 2004 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Unassigned

Charles David Claude

Serial No. 10/817,570

Art Unit: 1615

Filed: April 1, 2004

Title: CONTROLLED MORPHOLOGIES IN POLYMER DRUG FOR
RELEASE OF DRUGS FROM POLYMER FILMS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/822,952, filed on March 30, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.


The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: November 19, 2004

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FORM PTO-1449 (Modified)
 Approved for use through 10/31/2002

US DEPARTMENT OF COMMERCE
 US Patent and Trademark Office

Docket No.
 50623.00384

Application No.
 10/817,570

INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Applicant
 Charles David Claude

Filing Date
 April 1, 2004

Group Art Unit
 1615

U.S. PATENT DOCUMENTS

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| <table border="1" style="width:100%"> <tr> <td style="width:50%">EXAMINER</td> <td style="width:50%">DATE CONSIDERED</td> </tr> </table> | | EXAMINER | DATE CONSIDERED |
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